

Financial Adviser Profile

Overview

Shane has worked within the Financial Planning industry for over 4 years in a range of roles such as Financial Adviser, Paraplanner, and Mortgage Broker.

Shane has been part of the Luka Financial team since October 2017. He has been a Financial Adviser with the team since October 2018, and the team Paraplanner prior to this.

Luka Financial is part of the broader Luka Group, this provides them access to the wealth of knowledge held by the greater group. Luka Group prides itself on being able to deliver all aspects of our client's financial needs in a professional, ethical and client focused manner.

Shane Harris is a Sub-Authorised Representative of Luka Financial Pty Ltd, Corporate Authorised Representative No. 441690. Authorised Representative No. 1268800.

Qualifications

Shane holds a Bachelor of Business (Financial Planning) and is currently studying a Bachelor of Information Software with minors in Economics and Accounting. Shane meets the competency requirements under ASIC's Regulatory Guide RG 146.

Professional Memberships

Shane is a member of the Financial Planning Association of Australia (FPA), the Association of Financial Advisers (AFA), and is a registered Tax (Financial) Adviser with the Tax Practitioners Board (TPB) and abides by their codes of professional conduct and ethics.

Shane is a committee member of the Financial Planning Association of Australia's Western Division Chapter.



Shane Harris

Luka Financial Pty Limited

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Authorisations

Shane is authorised as a Financial Adviser and is able to provide advice and deal (under supervision of a senior Capstone Financial Adviser) in the following financial products:

- Life Products including Investment Life Insurance Products & Life Risk Insurance Products;
- Interests in Managed Investment Schemes including Investor Directed Portfolio Services;
- Deposit & Payment Products;
- Retirement Savings Accounts ("RSA") products;
- Debentures, Stocks or Bonds issued or proposed to be issued by a Government;
- Superannuation;
- Securities.

Shane is currently undertaking a Professional Year of work and training. His Supervisor during this period is Michael McKenna (221557) of Luka Financial Pty Ltd. Michael will be responsible for any advice provided by Shane during that time. Shane is authorised to provide financial advice, conduct client advice meetings and prepare advice documents only under the supervision of a senior Capstone Financial Adviser.

Luka Financial Pty Limited Advice Fees and Charges

Shane will be paid by Financial Planning Advice Fees as described in the Financial Services Guide. The amount of the benefit and how it is calculated will be advised to you during your initial meeting.

Shane's hourly rate for Financial Services is \$220 incl. GST and you will be notified of the time involved prior to the commencement of any work if applicable.

Shane's fee for the preparation of a Statement of Advice and other Advice documents will vary depending on the complexity involved and the time taken. You will be notified of the costs involved prior to the commencement of any work.

Shane provides the option of ongoing reporting and advisory services. This fee is a fixed fee or percentage (%) of the value of your holding. You will be notified of the cost involved prior to the commencement of any ongoing services.

Luka Financial Pty Limited pays a fixed licensing fee to Capstone Financial Planning Pty Ltd and will receive all revenue earned from the financial services provided to you. Shane is a salaried employee of Luka Financial Pty Limited and will receive a salary/benefit from this company.

Other Benefits Shane May Receive

From time to time Shane may be invited to social or sporting events and receive the occasional gift such as a bottle of wine or hamper on special occasions. These non-cash benefits will have a value of less than \$300. A register listing the details of any non-cash benefits between \$100 and \$300 is maintained. These invitations and gifts do not influence the advice provided to you. If you would like more information you can request a copy of the register.

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